



IFU/2162\$

PATENT
Customer No. 22,852
Attorney Docket No. 06502.0054-01000

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:)
)
Ann M. WOLLRATH et al.) Group Art Unit: 2162
)
Application No.: 10/015,801 ✓) Examiner: Jean B. Fleurantin
)
Filed: December 17, 2001) Confirmation No. 1256
)
For: METHOD AND APPARATUS FOR)
TRANSPORTING BEHAVIOR IN)
AN EVENT-BASED DISTRIBUTED)
SYSTEM)

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97(c)

Pursuant to 37 C.F.R. §§ 1.56 and 1.97(c), Applicants bring to the attention of the Examiner the documents on the attached listing. This Information Disclosure Statement is being filed after the events recited in Section 1.97(b) but, to the undersigned's knowledge, before the mailing date of either a Final action, Quayle action, or a Notice of Allowance. Under the provisions of 37 C.F.R. § 1.97(c), this Information Disclosure Statement is accompanied by a fee of \$180.00 as specified by Section 1.17(p).

Copies of the listed foreign and non-patent literature documents are attached.

Copies of the U.S. patent publications are not enclosed.

03/31/2006 HALI11 00000090 10015801

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Applicants respectfully request that the Examiner consider the listed documents and indicate that they were considered by making appropriate notations on the attached form.

This submission does not represent that a search has been made or that no better art exists and does not constitute an admission that each or all of the listed documents are material or constitute "prior art." If the Examiner applies any of the documents as prior art against any claims in the application and Applicants determine that the cited documents do not constitute "prior art" under United States law, Applicants reserve the right to present to the Office the relevant facts and law regarding the appropriate status of such documents.

Applicants further reserve the right to take appropriate action to establish the patentability of the disclosed invention over the listed documents, should one or more of the documents be applied against the claims of the present application.

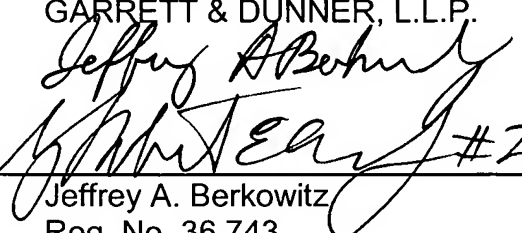
If there is any fee due in connection with the filing of this Statement, please charge the fee to our Deposit Account No. 06-0916.

Respectfully submitted,

FINNEGAN, HENDERSON, FARABOW,
GARRETT & DUNNER, L.L.P.

Dated: March 29, 2006

By:


Jeffrey A. Berkowitz
Reg. No. 36,743

#27,432

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Sheet

1

of

4

Complete if Known

Application Number	10/015,801
Filing Date	December 17, 2001
First Named Inventor	Ann M. WOLLRATH
Art Unit	2172
Examiner Name	J. FLEURANTIN
Attorney Docket Number	06502.0054-01000

U.S. PATENTS AND PUBLISHED U.S. PATENT APPLICATIONS

Examiner Initials	Cite No.	Document Number	Issue or Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code (if known)			
		3,449,669	6/10/1969	Granqvist	
		4,800,488	1/24/1989	Agrawal et al.	
		4,819,233	4/4/1989	Delucia et al.	
		5,339,430	8/16/1994	Lundin et al.	
		5,341,477	8/23/1994	Pitkin et al.	
		5,491,791	2/13/1996	Glowny et al.	
		5,674,982	6/9/1998	Madduri	
		5,682,534	10/28/1997	Kapoor et al.	
		5,721,825	2/24/1998	Lawson et al.	
		5,734,706	3/31/1998	Windsor et al.	
		5,764,982	9/9/1998	Madduri	
		5,806,042	9/8/1998	Kelly et al.	
		5,887,172	3/23/1999	Vasudevan et al.	
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		5,915,112	6/22/1999	Boutcher	
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		6,003,065	12/14/1999	Yan et al.	
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		6,041,351	3/21/2000	Kho	
		6,085,030	7/4/2000	Whitehead et al.	
		6,092,194	7/18/2000	Touboul	
		6,101,528	8/8/2000	Butt	
		6,104,716	8/15/2000	Crichton et al.	
		6,154,844	11/28/2000	Touboul et al.	

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				Examiner Name	J. FLEURANTIN
				Attorney Docket Number	06502.0054-01000
Sheet	2	of	4		

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		Number-Kind Code (if known)			
		6,157,960	12/5/2000	Kaminsky et al.	
		6,189,046	2/13/2001	Moore et al.	
		6,212,578	4/3/2001	Racicot et al.	
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		6,269,401	7/31/2001	Fletcher et al.	
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INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(Use as many sheets as necessary)</i>			<i>Application Number</i>	10/015,801	
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			<i>Art Unit</i>	2172	
			<i>Examiner Name</i>	J. FLEURANTIN	
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		Number-Kind Code (if known)			
		US 2001/0003824 A1	6/14/2001	Schnier	
		US2001/0011350 A1	8/2/2001	Zabetian	
		US2002/0059212A1	5/16/2002	Junji Takagi	
		US2002/0073019 A1	6/13/2002	Deaton	
		US2002/0111814 A1	8/15/2002	Barnett et al.	
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		US2003/0084204	5/1/2003	Wollrath et al.	
		US2003/01919842 A1	10/9/2003	Murphy et al.	

FOREIGN PATENT DOCUMENTS						
Examiner Initials	Cite No.	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	Translation
		Country Code Number Kind Code (if known)				
		WO01/13228 A2	2/22/2001	PCT		
		WO01/86394 A2	11/15/2001	PCT		
		WO01/90903 A1	11/29/2001	PCT		
		WO99/17194	4/8/1999	PCT		

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	Translation
		"Dynamic code downloading using RMI", http://java.sun.com/j2se/1.4.2/docs/guide/mi/codebase.html , 2003	
		"Passing Proxies as Parameters to Methods and Return Values from Methods", IBM Technical Disclosure Bulletin, vol. 41, no. 1, Jan. 1998, pp. 89-92	
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		Anonymous, "Jini Distributed Leasing Specification", Sun Microsystems, January 1999, XP-002209076	

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NON PATENT LITERATURE DOCUMENTS

		AUTO-ID CENTER, "Auto-ID Savant Specification 1.0," Version of 13 October 2003 (58 pages).	
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		Subramanian, Sakthi et al., "Automatic Verification of Object Code Against Source Code," IEEE, 1996, pp. 46-55	
		Wollrath et al., "Java-Centric Distributed Computing," IEEE Micro, pp. 44-53, 1997.	
		Wollrath, Ann, et al., "Simple Activation for Distributed Objects", Proceedings of the Usenix Conference, June 26, 1995, pp. 1-11	

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